

## A 5-Step Formula for Safe Generative Al

**Dr. Seth Dobrin** CEO, Qantm Al





Generative AI has the potential to revolutionize every industry.

# What's your plan?

# Others are starting already ...

Using exclusively commodity models

Al teams are identifying use cases

Leveraging existing human resources

- Fitting GenAl into existing governance and accountability structures
- Leveraging existing technical capabilities

# But their approach isn't working ...

Selection of models is use-case specific

Needs to be tied to business goals

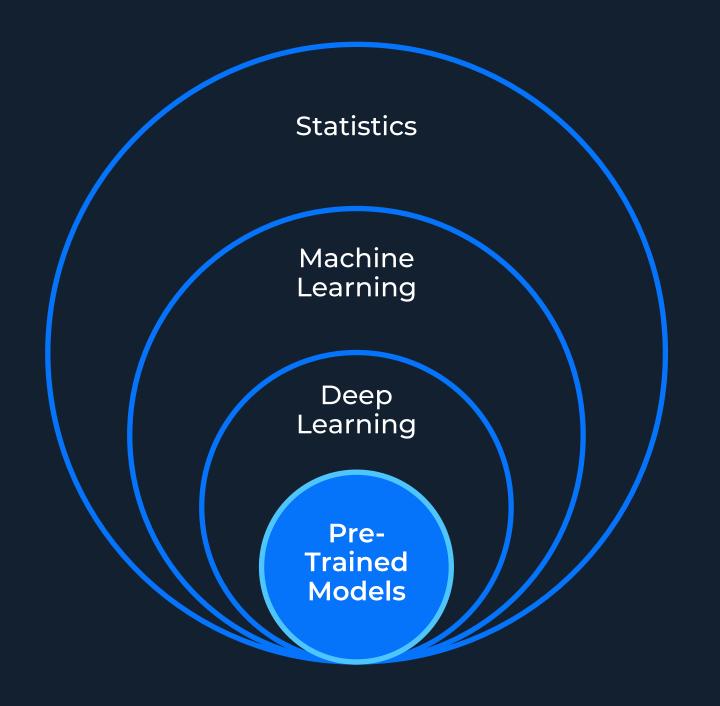
Existing talent lacks the understanding

Unique challenges associated

New architectures are needed



## Level-Set on Al



## Are you using Al in your organization...

## After more than a decade, is Al finally reaching enterprise scale?

28%

Widely implemented,

driving critical business value and will remain a major component of our strategy

**27**%

Implemented in a few use cases

across a few departments that will be key for us to scale

16%

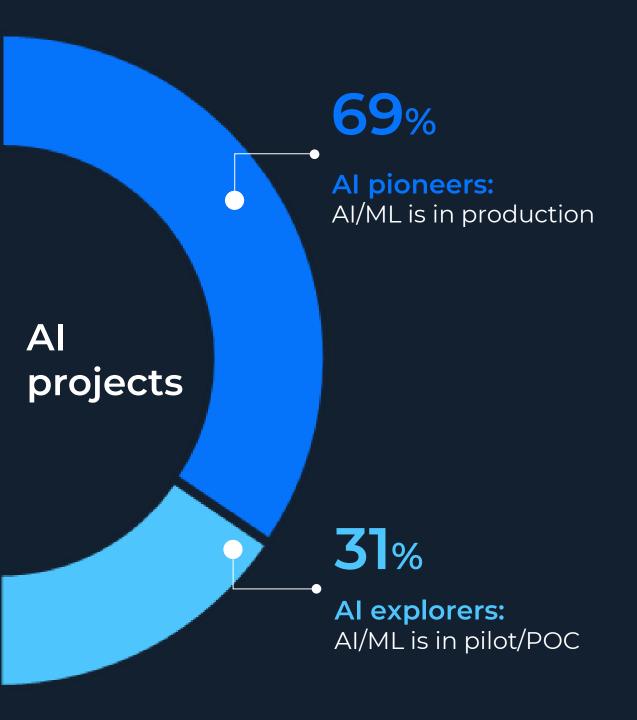
A single use case

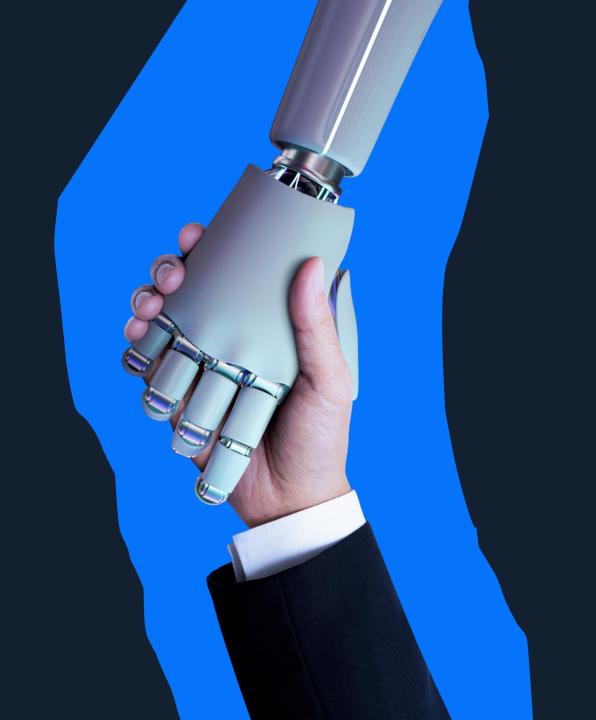
in one or a few departments but is driving critical value and will remain a major component of our strategy

30%

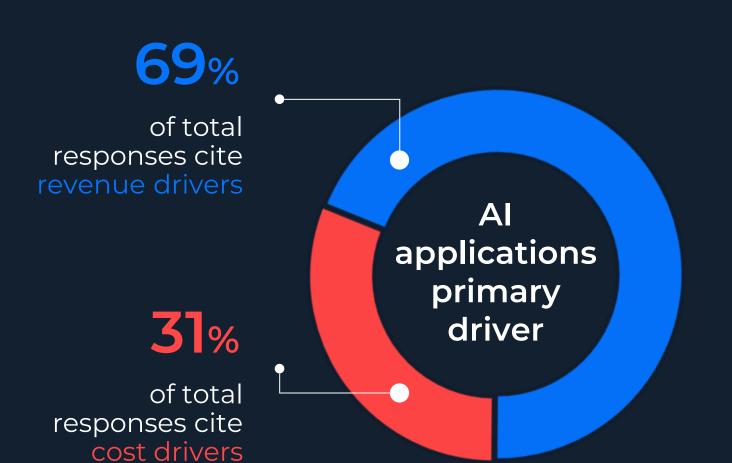
A minor component

of a broader strategy but will be critical in the future





### Revenue Drivers Lead Cost Drivers for AI/ML



70% of Al pioneers' responses cite revenue drivers

of Al explorers' responses cite revenue drivers

## Do your customers know???

## More than half of Americans are aware of common uses of Al ...

% of U.S. adults who identify that the following use AI in multiple choice questions



cameras

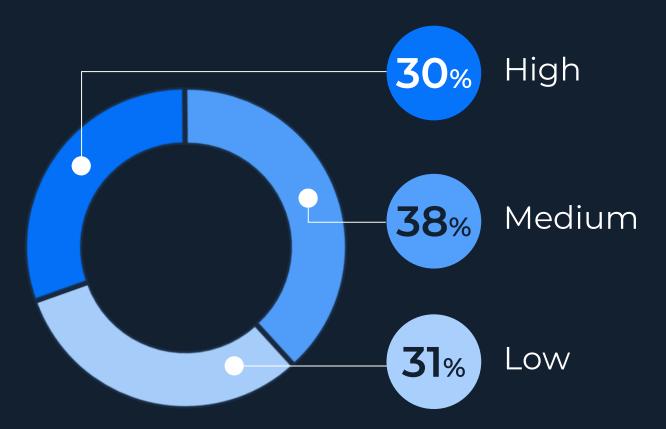
playlists

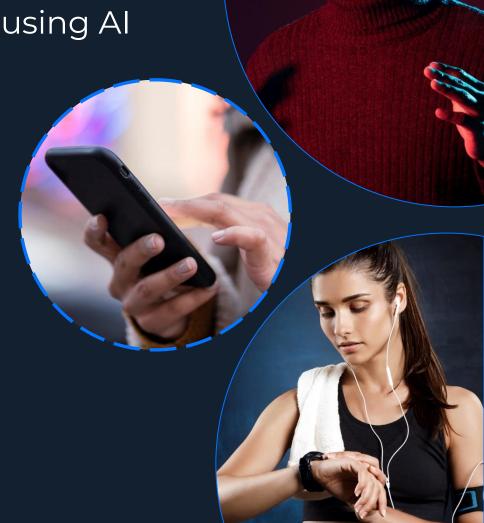
Email

service

## ... but fewer can identify Al's role in all 6 examples

% of U.S. adults who correctly identify ... as using Al





Worldwide retail Al economic impact through 2029

\$9.2 trillion



54%

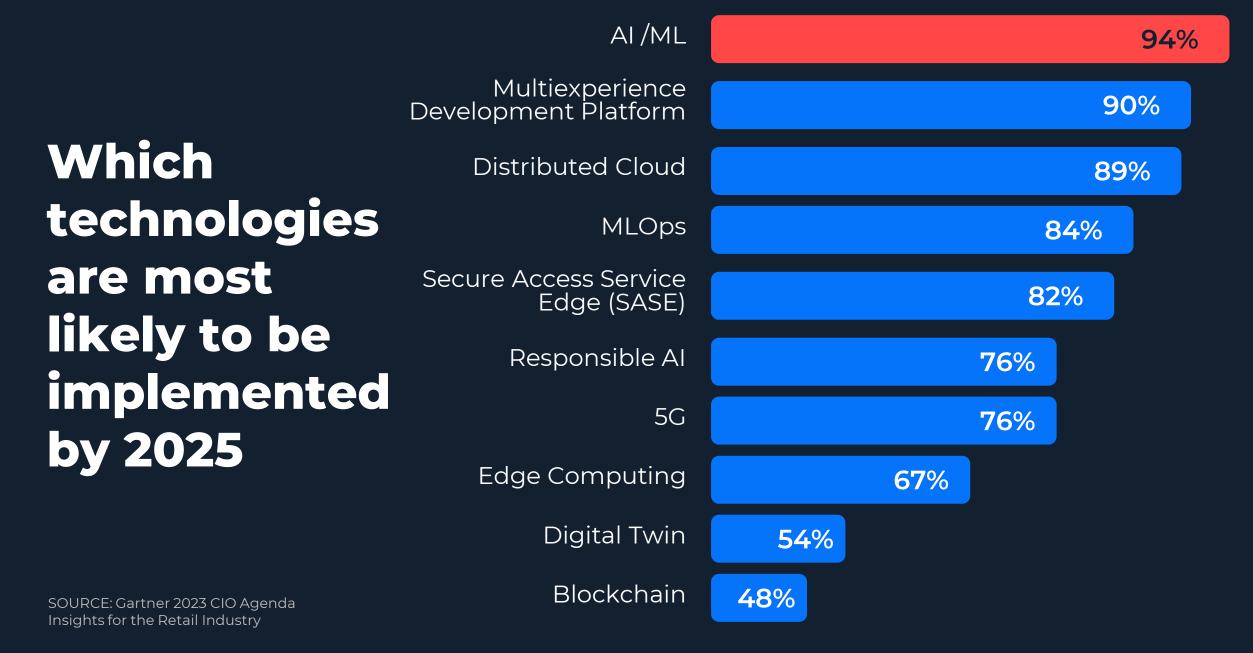
of cumulative economic benefits from AI through 2029 will be in increased sales



72%

of cumulative
Al economic
benefits will go
to retailers over
\$1b in size

Source: https://losspreventionmedia.com/a-i-research-findings/



# What are the challenges while developing a vision for digital change?

Integrating the digital vision with existing enterprise-level strategies

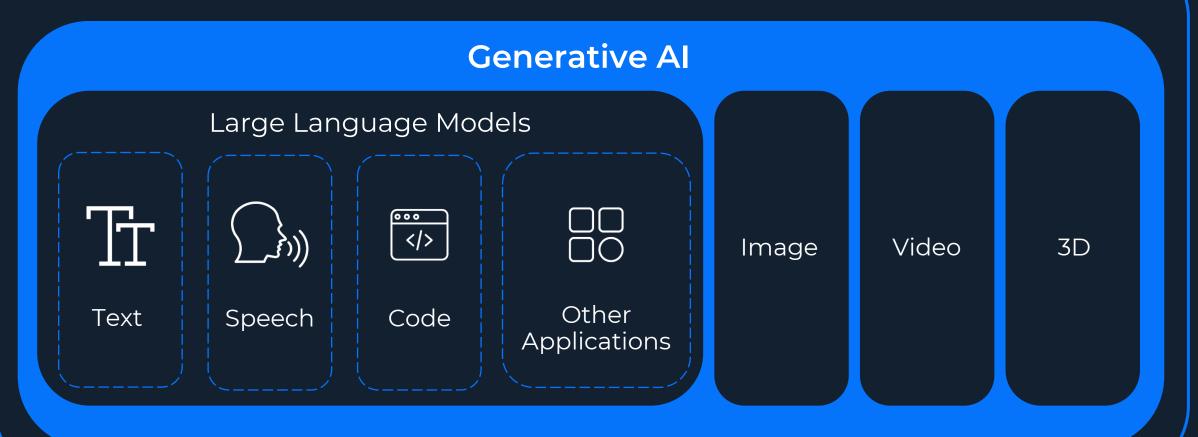
Agreeing on a shared vision across different parts of the enterprise

Competing expectations from different stakeholders

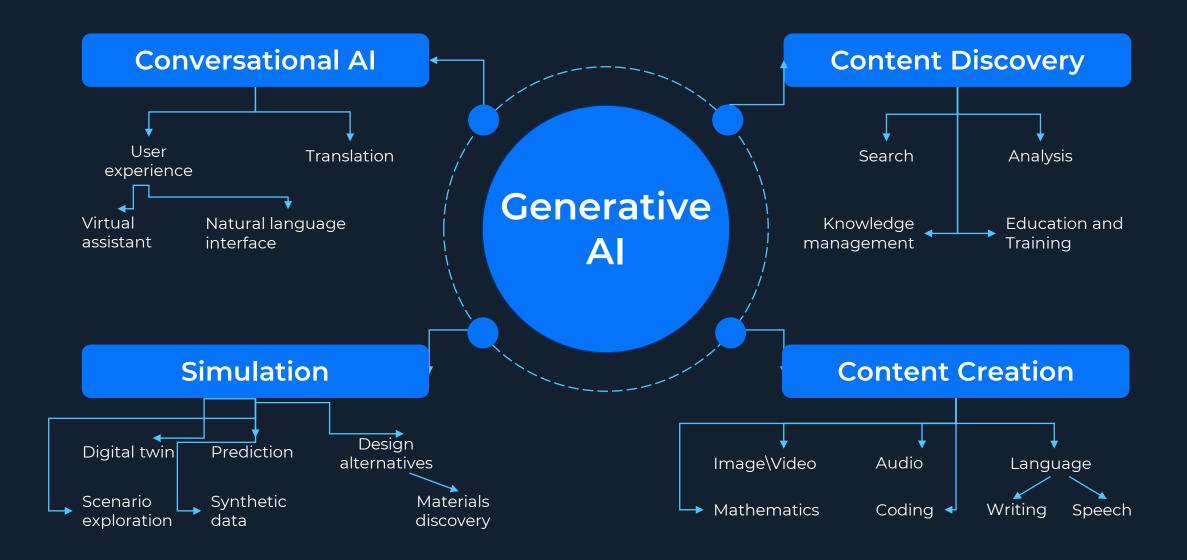


### Level-Set on Generative Al

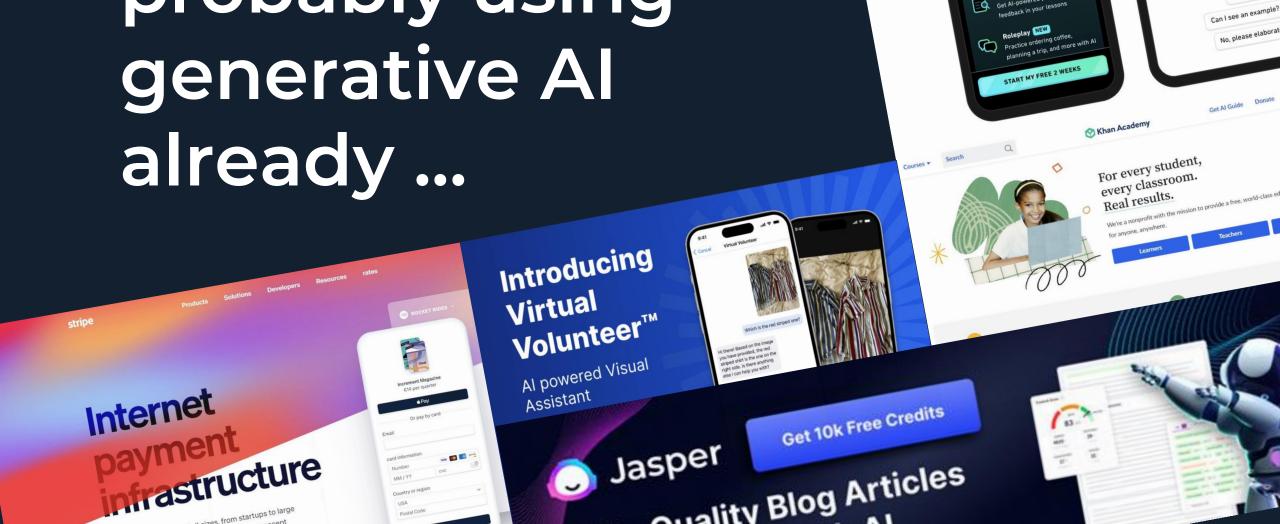




### Generative Al Capabilities



You're probably using generative Al already ...



No me gustan esos vestidos.

Introducing Duolingo Max The best plan for advanced learning. New Al-powered features plus all the benefits of Super.

Explain My Answer NEW

item like vestidos, you'll use

Yes, I'm all set

the plural form gustan.

#### @ Bard was just updated. See update



tell me about Dr Seth Dobrin, PhD







Dr. Seth Dobrin is a globally recognized and award-winning business leader in responsible Al. He is the former Chief Al Officer of IBM and a board member of several organizations, including the Partnership on Al, the Al for Good Foundation, and the IEEE Global Initiative on Ethics of Autonomous Systems.

Dr. Dobrin has a PhD in molecular biology from the University of California, San Francisco. He began his career in the life sciences, where he developed new technologies for genomic analysis. In 2005, he moved to IBM to lead the company's efforts in data science and analytics. He was appointed Chief Al Officer in 2017 and served in that role until 2022.

As Chief AI Officer, Dr. Dobrin was responsible for IBM's global AI strategy and execution. He led the development of IBM's Responsible AI framework, which is a set of principles for ensuring that AI is used for good. He also launched IBM's AI for Social Good program, which uses AI to solve some of the world's most pressing challenges.

Dr. Dobrin is a frequent speaker and writer on the topics of AI, ethics, and responsible leadership. He is a member of the World Economic Forum's Global Future Council on Artificial Intelligence and the Aspen Institute's Future of Artificial Intelligence Initiative.

Here are some of Dr. Dobrin's notable achievements:

- . He was named one of the Top 100 Data Innovators of the Year by Analytics Insight in 2022.
- · He was awarded the Al Innovator of the Year Award by the Data & Analytics Association in 2021.
- He was named one of the World's Most Influential People in AI by the MIT Technology Review in 2020.
- He was appointed to the U.S. Department of Commerce's Artificial Intelligence Advisory Committee in 2019.

Dr. Dobrin is a thought leader in the field of AI and a champion for responsible AI. He is committed to using AI to make the world a better place.

## ... searches the web ...

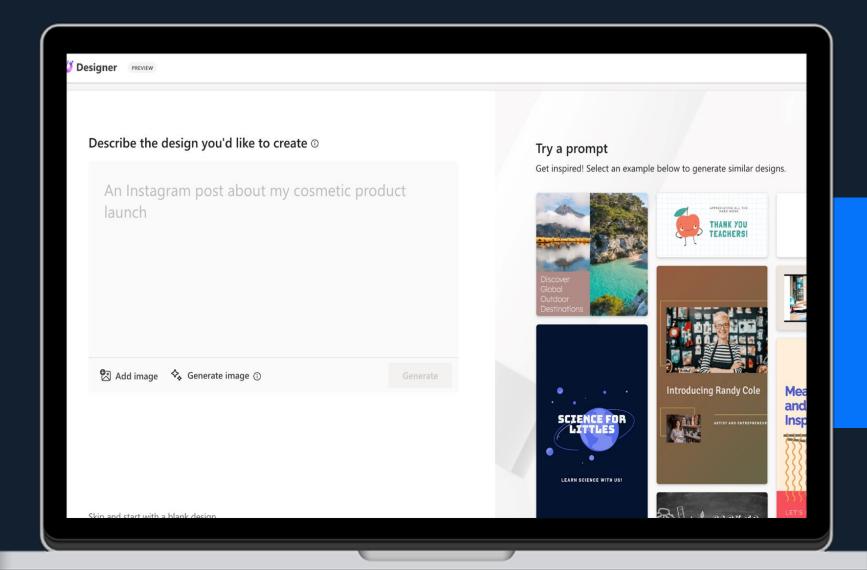
## ... writes code ...



Unless you have been living under a rock, you have heard of this new ChatGPT assistant made by OpenAI. You might be aware of its capabilities for solving IQ tests, tackling leetcode problems or to helping people write LateX. It is an amazing resource for people to retrieve all kinds of information and solve tedious tasks, like copy-writing!

Today, Frederic Besse told me that he managed to do something different. Did you know, that you can run a whole virtual machine inside of ChatGPT?

## ... creates designs ...





## ... small data models from large models...

## Vicuna: An Open-Source Chatbot Impressing GPT-4 with 90%\* ChatGPT Quality

by the Team with members from UC Berkeley, CMU, Stanford, and UC San Diego

We introduce Vicuna-13B, an open-source chatbot trained by fine-tuning LLaMA on user-shared conversations collected from ShareGPT. Preliminary evaluation using GPT-4 as a judge shows Vicuna-13B achieves more than 90%\* quality of OpenAl ChatGPT and Google Bard while outperforming other models like LLaMA and Stanford Alpaca in more than 90%\* of cases. The cost of training Vicuna-13B is around \$300. The training and serving code, along with an online demo, are publicly available for non-commercial use.



<sup>\*</sup> According to a fun and non-scientific evaluation with GPT-4. Further rigorous evaluation is needed.

#### BloombergGPT: A Large Language Model for Finance

Shijie Wu<sup>1,\*</sup>, Ozan İrsoy<sup>1,\*</sup>, Steven Lu<sup>1,\*</sup>, Vadim Dabravolski<sup>1</sup>, Mark Dredze<sup>1,2</sup>, Sebastian Gehrmann<sup>1</sup>, Prabhanjan Kambadur<sup>1</sup>, David Rosenberg<sup>1</sup>, Gideon Mann<sup>1</sup> Bloomberg, New York, NY USA

<sup>2</sup> Computer Science, Johns Hopkins University, Baltimore, MD USA gmann16@bloomberg.net

#### Abstract

The use of NLP in the realm of financial technology is broad and complex, with applications ranging from sentiment analysis and named entity recognition to question answering. Large Language Models (LLMs) have been shown to be effective on a variety of tasks; however, no LLM specialized for the financial domain has been reported in literature. In this work, we present Bloomberger a 50 billion parameter language model that is trained on a wide range of financial data. We construct a 363 billion token dataset based on Bloomberg's extensive data sources, perhaps the largest domain-specific dataset yet, augmented with 345 billion tokens from general purpose datasets. We validate Bloomberger on standard LLM benchmarks, open financial benchmarks, and a suite of internal benchmarks that most accurately reflect our intended usage. Our mixed dataset training leads to a model that outperforms existing models on financial tasks by significant margins without sacrificing performance on general LLM benchmarks. Additionally, we explain our modeling choices, training process, and evaluation methodology. As a next step, we plan to release training logs (Chronicles) detailing our experience in training BloombergGPT.

# ... proprietary industry— specific large models ...

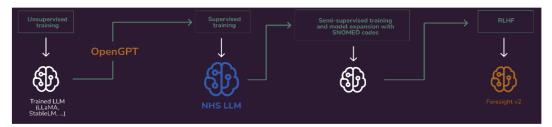
# ... open source industryspecific large models ...

## A Large Language Model for the NHS



May 10, 2023

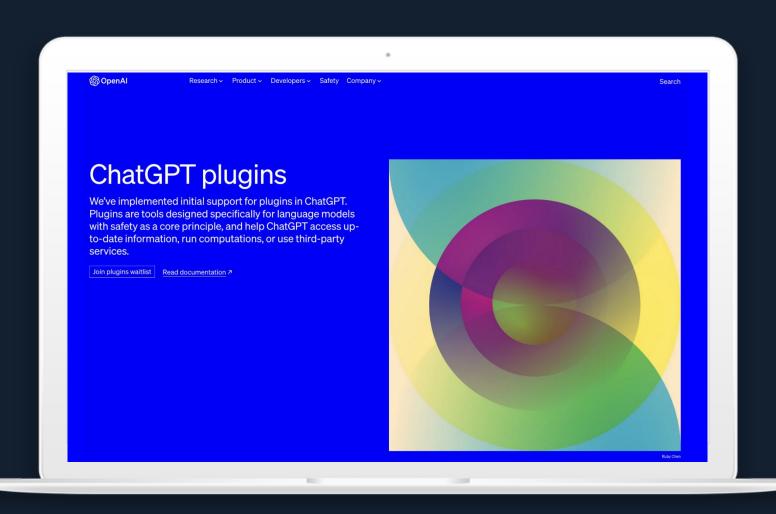
In 2022, CogStack introduced Foresight version 1 GPT. The team at King's College London, King's College Hospital NHS Foundation Trust, Institute of Psychiatry, Psychology & Neuroscience and Guy's and St Thomas' NHS Foundation Trust now present a preview of the next phase of evolution with a Large Language Model for the #nhs



For the #NHS healthcare community #ai4nhs #aiforhealth, we introduce:

- OpenGPT an open-source framework for building LLMs with supervised training and instruction-based datasets (github.com)
- 2. NHS-LLM a 13B large language model trained for healthcare

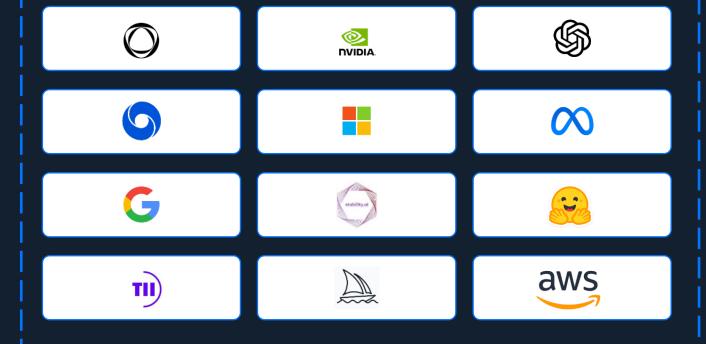
## ... We are seeing the birth of the next "App Store"...





# Problem: LLMs are not safe for business applications

#### **Generative AI Models**



... and growing weekly

### The Technology Gap



No concept of organizational truths



Presents a regulatory risk



No or incomplete evidence



Hallucinations and incorrect responses



Not auditable



No protection against prompt injection

# Althat Lies...



## **47**% fabricated

#### High Rates of Fabricated and Inaccurate References in ChatGPT-Generated Medical Content

Mehul Bhattacharyya <sup>1</sup>, Valerie M. Miller <sup>2</sup>, Debjani Bhattacharyya <sup>3</sup>, Larry E. Miller <sup>1</sup>

1. Clinical Research, Miller Scientific, Johnson City, USA 2. Leadership, University of the Cumberlands, Williamsburg, USA 3. Education, University of Massachusetts Lowell, Lowell, USA

Corresponding author: Larry E. Miller, larry@millerscientific.com

#### **Abstract**

#### **Background**

The availability of large language models such as Chat Generative Pre-trained Transformer (ChatGPT, OpenAI) has enabled individuals from diverse backgrounds to access medical information. However, concerns exist about the accuracy of ChatGPT responses and the references used to generate medical content.

#### **Methods**

This observational study investigated the authenticity and accuracy of references in medical articles generated by ChatGPT. ChatGPT-3.5 generated 30 short medical papers, each with at least three references, based on standardized prompts encompassing various topics and therapeutic areas. Reference authenticity and accuracy were verified by searching Medline, Google Scholar, and the Directory of Open Access Journals. The authenticity and accuracy of individual ChatGPT-generated reference elements were also determined.

#### Results

Overall, 115 references were generated by ChatGPT, with a mean of 3.8±1.1 per paper. Among these references, 47% were fabricated, 46% were authentic but inaccurate, and only 7% were authentic and accurate. The likelihood of fabricated references significantly differed based on prompt variations; yet the

## 46% inaccurate

...

# ... makes up information and references

#### Lawyer's AI Blunder Shows Perils of ChatGPT



Justin Wise Reporter





- NY lawyers face possible sanctions over fabricated case citations
- The case comes as AI technology becomes more widely used

A lawyer's citation of court decisions fabricated by ChatGPT shows the peril of relying on the artificial intelligence chatbot without proper safeguards.

New York lawyers Steven Schwartz and Peter LoDuca face a June 8 hearing on potential sanctions after a court brief they submitted cited six nonexistent cases. Schwartz acknowledged that ChatGPT invented the cases, even though he initially believed the tool had surfaced authentic citations, according to an affidavit he filed May 25 in Manhattan federal court.

"Maybe this is an extreme example" of lawyer over-reliance on ChatGPT, said

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GWINNETT COUNTY, GEORG
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6/5/2023 4:43 P
TIANA P. GARNER: CLE

#### IN THE SUPERIOR COURT OF GWINNETT COUNTY STATE OF GEORGIA

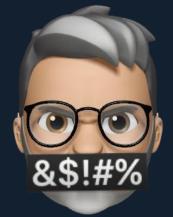
MARK WALTERS,	)	
Plaintiff,	)	
	)	
v.	)	
	)	
OpenAI, L.L.C.,	)	22 4 04960
Defendant	CIVIL ACTION No.	23-A-04860-

#### COMPLAINT

Plaintiff Mark Walters ("Walters") states the following as his Complaint:

- Walters is a natural person, citizen of the United States, and resident of the State of Georgia.
- Defendant OpenAI, L.L.C. ("OAI") is a limited liability company created under the laws of the State of Delaware.
- 3. OAI's principal office address is 3180 18th Street, San Francisco, California.
- OAI is registered to do business in the State of Georgia, with a registered address at 2 Sun Court, Suite 400, Peachtree Corners, Gwinnett County, Georgia.
- 5. OAI has an artificial intelligence chat platform, known as ChatGPT.
- Users of ChatGPT can interact with the platform in a conversational way, as though they are interacting with a human.
- 7. ChatGPT can respond to inquiries with sophisticated detail.
- 8. Fred Riehl is a third party who works for a media outlet as a journalist and who is

... incorrect information can lead to legal action ...



# Althat has regulatory issues ...

## The legal and regulatory issues aren't yet



OpenAl's hunger for data is coming back to

The company's AI services may be breaking data protection laws, and there is

bite it

no resolution in sight.

By Melissa Heikkilä

#### Grading Foundation Model Providers' Compliance with the Draft EU AI Act

Source: Stanford Research on Foundation Models (CRFM), Institute for Human-Centered Artificial Intelligence (HAI)

		<b>cohere</b>	stability.ai	ANTHROP\C	Google	BigScience	Meta	Al21 labs	ALPHA ALPHA	ELEUTHER RI	
Draft AI Act Requirements	GPT-4	Cohere Command	Stable Diffusion v2	Claude	PaLM 2	ВЬООМ	LLaMA	Jurassic-2	Luminous	GPT-NeoX	Totals
Data sources	•000	•••0	••••	0000	••00	••••	• • • •	0000	0000	••••	22
Data governance	••00	$\bullet \bullet \bullet \circ$	••00	0000	•••0	••••	••00	0000	0000	$\bullet \bullet \bullet \circ$	19
Copyrighted data	0000	0000	0000	0000	0000	$\bullet \bullet \bullet \circ$	0000	0000	0000	0000	7
Compute	0000	0000	••••	0000	0000	••••	••••	0000	•000		17
Energy	0000	•000	• • • 0	0000	0000	• • • •	••••	0000	0000	••••	16
Capabilities & limitations	••••	•••0		•000	••••	$\bullet \bullet \bullet \circ$	••00	••00	•000	•••0	27
Risks & mitigations	$\bullet \bullet \bullet \circ$	••00	•000	•000	•••0	••00	•000	••00	0000	• 0 0 0	16
Evaluations	• • • •	••00	0000	0000	••00	•••0	••00	0000	•000	• 0 0 0	15
Testing	•••0	••00	0000	0000	••00	••00	0000	•000	0000	0000	10
Machine-generated content	•••0	$\bullet \bullet \bullet \circ$	0000	•••0	•••0	•••0	0000	•••0	•000	••00	21
Member states	••00	0000	0000	••00	••••	0000	0000	0000	•000	0000	9
Downstream documentation	•••0	••••	••••	0000	••••	••••	••00	0000	0000	$\bullet \bullet \bullet \circ$	24
Totals	25 / 48	23 / 48	22 / 48	7 / 48	27 / 48	36 / 48	21 / 48	8 / 48	5 / 48	29 / 48	

... is not compliant with global regulations



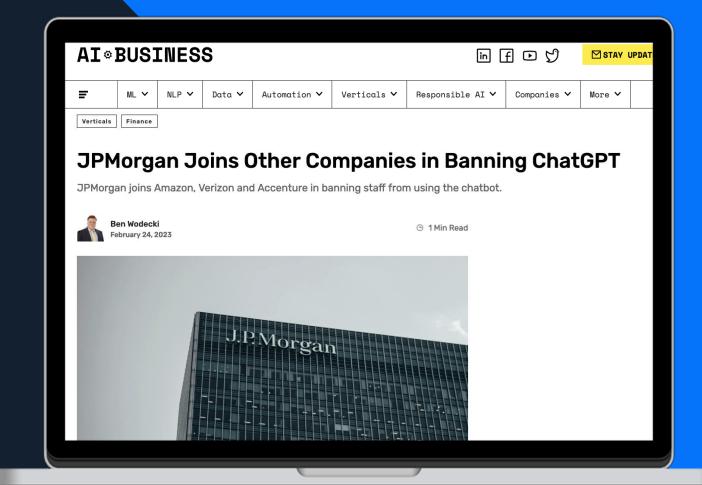
### ChatGPT banned from New York City public schools' devices and networks

A spokesperson for OpenAI, which developed ChatGPT, said it is "already developing mitigations to help anyone identify text generated by that system."



# Technology being banned from schools ...

... or the corporate world?



TECHNOLOGY

### ChatGPT is temporarily banned in Italy amid an investigation into data collection

March 31, 2023 · 5:06 PM ET



Juliana Kim



# ... or entire countries ...

### ... or the Al industry itself ...



Our mission Cause areas >

Our work V About us V

Home » Pause Giant Al Experiments: An Open Letter

← All Open Letters

#### Pause Giant Al Experiments: An Open Letter

We call on all Al labs to immediately pause for at least 6 months the training of Al systems more powerful than GPT-4.

Signatures

2390

Add your signature







### FORMULA-

### for safe and compliant Generative Al

**PIXAR** 



# PIXAR

ANIMATION STUDIOS

#### Pixar's secret to success?

#### A formula

Once upon a time there was a fish, Marlin, and his son Nemo Every day, Marlin warns Nemo about the dangers of the ocean Nemo ignores his father One day, Because of that, Nemo winds up in a fish tank Marlin sets off on a journey to find Nemo Because of that, Marlin finds Nemo and brings him home safely Until finally,

## Implement generative AI in a safe and compliant manner

#### A formula

Create top-down Al strategy aligned to business objectives.

Update governance and accountability to reflect new challenges.

Prioritize use cases to create real value quickly.

Provide education from the mailroom to the board room.

Implement | technology stacks designed for generative AI.



#### Start here ...

Prioritization of use cases dictate the right model for the job

Top-down Al strategy aligned to business objectives

Provide role-based education from the mailroom to the board room

Update governance and accountability to reflect new challenges

Implement architectures designed for generative Al

	Industries									
Select Generative Al Use Cases by Industry	Automo tive and Vehicle Manufa cturing	Media	Archite cture and Enginee ring	Energy and Utilities	Healthc are Provide rs	Electro nic Product Manufa cturing	Manufa cturing	Pharma ceutical		
Drug Design								<b>⊘</b>		
Material Science	<b>⊘</b>			<b>•</b>		<b>⊘</b>				
Chip Design						<b>②</b>				
Synthetic Data	<b>②</b>		<b>②</b>	<b>②</b>	<b>⊘</b>	<b>②</b>	<b>⊘</b>	<b>⊘</b>		
Generative Design (Parts)	<b>⊘</b>		<b>⊘</b>				<b>⊘</b>			

#### Gartner

### **Cross-Industry Use Cases**



Customer Service



Sustainability and ESG



Sourcing and Supply Chain



Cybersecurity



# Generative Al Use Cases for Retail



### Marketing and Digital Commerce



**Customer Experience** 



Operations and Supply Chain



Merchandising and Planning

# Marketing and Digital Commerce

#### **Focus Areas**

- Personalized messaging
- Social media
- Product attributes
- Descriptions of products
- Images of products/services



**Risks** 

Bias

Intellectual property

Consumer privacy

Copyright

Deepfakes

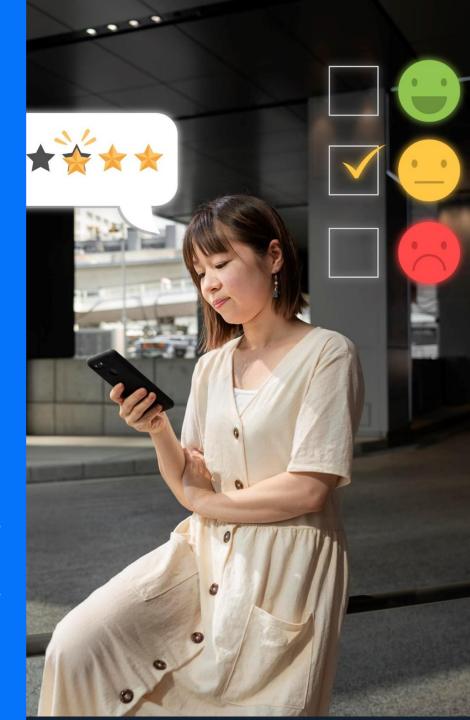
# **Customer Experience**

#### Focus Areas

- Conversational commerce
- Transaction facilitation
- Associate assistance
- Customer service
- Customer support

#### **Risks**

- Bias
- Poor recommendation
- Process change management
- Lack of consumer privacy
- Lack of consumer protections





# Operations and Supply Chain

#### **Focus Areas**

- Content discovery
- Sourcing
- Procurement
- Logistics
- Supplier interactions

- Bias
- Incorrect service recommendation

#### Risks

- Process change management
- Lack of transparency
- Lack of processing capacity

# Merchandising and Planning

#### **Focus Areas**

- Product development
- Trend analysis
- Social media analysis
- Image creation
- Synthetic data creation

#### **Risks**

- Bias
- Failure to identify change
- Pricing changes too frequently
- Lack of real-time information
- Lack of processing capacity





### Elevate your

AI IQ

Dr. Seth Dobrin

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